



UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. MBHB02-329-A)

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PATENT
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IN THE APPLICATION OF:

McConlogue, et al.

Serial No. 10/082,804

Filed: February 22, 2002

Title Transgenic Knockouts of Bace-1

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)
)
) Examiner: To Be Assigned
)
) Group Art Unit: 1645
)
) Confirmation No.: 2073

Commissioner for Patents
Box Sequence, P.O. Box 2327
Arlington, VA 22202

Sir:

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:

- Petition for Extension of Time;
- Response to Notice to File Missing Parts of Non Provisional Application;
- Copy of Notice to File Missing Parts of Non Provisional Application;
- Executed copies of Oath and Declaration;
- Statement Under 37 C.F.R. § 1.821(f);
- Paper Copy of Sequence Listing (3 sheets);
- Computer-Readable Form of Sequence Listing (1 diskette); and
- Return Receipt Postcard.

2. With respect to additional fees,

☒ Attached is a check in the amount of \$1,122.00 in payment of the basic filing fee, additional claim fee and surcharge; and

☒ Attached is a check in the amount of \$890.00 in pursuant to 37 C.F.R. 1.17 (a)(3)

3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202, on this 16th day of August, 2002.

Date: August 16, 2002

By:

Anita J. Terpstra
Reg. No. 47,132

McDONNELL BOEHNEN,
HULBERT & BERGHOFF
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CHICAGO, ILLINOIS 60606
TELEPHONE (312) 913-0001



UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. MBHB02-329-A)

PATENT

IN THE APPLICATION OF:)
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McConlogue, et al.)
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Serial 10/082,804) Examiner: To Be Assigned
No.)
Filed: February 22, 2002) Group Art Unit: 1645
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Title Transgenic Knockouts of BACE-1) Confirmation No.: 2073

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Commissioner for Patents
Washington, D.C. 20231

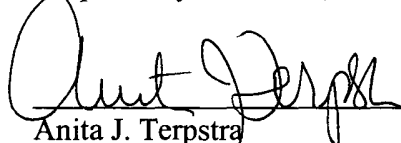
Sir:

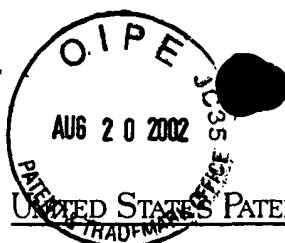
In response to the Notice to File Missing Parts of Non Provisional Application – Filing Date Granted, mailed on March 18, 2002, a copy of which is attached, Applicant submit the following:

1. Executed copies of Oath and Declaration, pursuant to 37 C.F.R. § 1.63;
2. The paper copy of the sequence listing is submitted herewith in compliance with 37 CFR § 1.821(c), and on diskette in compliance with 37 CFR § 1.821(e) (CRF copy). The statement under 37 CFR § 1.821(f) is also supplied herewith; and
3. A check in the amount of \$1,122.00 in payment of the basic filing fee, additional claim fee and surcharge accompanies this Response.

Date: August 16, 2002

Respectfully submitted,


Anita J. Terpstra
Reg. No. 47,132



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/082,804	02/22/2002	Lisa McConlogue	015270-008010US

CONFIRMATION NO. 2073

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER



OC000000007659070

Date Mailed: 03/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/21/2002 SDIRETA1 00000084 132490 10082804

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	252.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$252.
 ■ **\$252 for 14 total claims over 20.**
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1122.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Y.G.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE